

Board Effectiveness Review Workshop

Course outline and objectives

- Assess governance effectiveness through review and facilitated discussion.
- Identify how and where there is cross-functional dependence across various board roles.
- Establish solutions to resolve any gaps or missed oversight.
- Develop latest governance best practices in leveraging board information packs.
- Build development actions that support individual professional development needs.

Attending this focused half day course will help you:

1. **Critically Analyse Board Effectiveness:** Employ frameworks and discussion prompts to thoroughly assess current governance models and identify areas for improvement.
2. **Navigate Cross-Functional Dynamics:** Recognise and map dependencies between board roles, ensuring comprehensive oversight and collaboration across all functions.
3. **Develop Actionable Solutions:** Design practical solutions to address identified gaps in governance processes and mitigate potential oversight risks.
4. **Optimise Information Utilisation:** Master best practices for creating and leveraging board information packs for informed decision-making and strategic direction.
5. **Craft Customised Development Plans:** Formulate targeted actions to support individual professional growth and enhance contribution to effective board governance.

Dates and Fees

Live Online

18 June 2024

09:30 to 13:00

£345 + VAT

Savings available for multiple registrations

To book

Visit:

www.ctp.uk.com

Email:

emmabond@ctp.uk.com

Call:

0330 303 9779

CTP.

The
Training
Environment

Who will benefit?

This workshop is designed for individuals who play a critical role in ensuring the effectiveness and good governance of boards within regulated financial institutions. It is particularly valuable to **Board Members, Company Secretaries and Board Support Professionals, Senior Executives and Leaders, Governance, Risk and Compliance, Human Resources Professionals and Legal Counsel.**

Course Leader

Lucien Carter

Lucien has extensive experience and a dynamic facilitation style. For the past 24 years, he has served as a director and senior manager in renowned financial services companies like StudyFlex Ltd, Rathbones PLC, Brown Shipley Co Ltd and Skillcast PLC. This experience has equipped him with a deep understanding of the complex interplay between commercial, compliance and risk control within the boardroom.

Lucien is a Fellow of the Chartered Management Institute (FCMI), holding a CISI L4 Investment Advice Diploma (ASCI) and the TA101 programme from the Institute of Transactional Analysis. Additionally, he possesses a BPS Level A&B Psychometric Licence, demonstrating his expertise in understanding and working with different personalities.

Beyond his credentials, Lucien excels at facilitating collaborative discussions and driving meaningful outcomes through his engaging and creative approach. He has a proven ability to build strong relationships and generate stakeholder engagement at all levels, including boards of directors.

Furthermore, Lucien possesses an in-depth knowledge of relevant regulations such as SYSC, SMCR, TC/FIT, COBS, PROD, MiFID II, MAR, CoCON and the new Consumer Duty. This expertise ensures that your board operates within a compliant and efficient framework.

Course Programme

Session	Content
Introduction	<ul style="list-style-type: none"> • Backgrounds • Roles • Objectives of the session • Blind spots illustration (Black Swan) • Background and context (key regulatory and other principle relevant to client/sector/market)
Who Does What?	<ul style="list-style-type: none"> • Context of regulatory visit, supervisor has asked for: - MRM, Business Model & Individual Statements • Facilitated discussion regarding: Any gaps in oversight – anything missing? • Cross function / matrix view – handovers and handoff's and/or shared responsibilities (e.g. remuneration, whistleblowing, conduct, LoD, controls, consumer duty, delegation, oversight etc)
Board Reporting – Good Governance	<ul style="list-style-type: none"> • How is this demonstrated? • Pitfalls • What can improve? • Board packs & board reporting – the “so what” factor demonstrated through board pack analysis/assessment
Outcomes Based Regulation – Demonstration of example linked to case study	<ul style="list-style-type: none"> • What should the board response be and why? • Where are the pitfalls / bear traps • What is the question really asking?
Key Takeaways From The Session	<ul style="list-style-type: none"> • What will you action to change? • How does this go into your own governance structure? • Write up notes and summary / CPD